

RESOLUTION NO. 3022

A RESOLUTION OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION AMENDING PRIOR AUTHORIZATION FOR FINANCIAL ASSISTANCE TO BE PROVIDED BY THE CORPORATION TO THE VILLAGE OF OXFORD IN CONNECTION WITH THE DRINKING WATER STATE REVOLVING FUND

Maturity Date Extension

Oxford (V) - Project No. 18198

WHEREAS, by Resolution No. 2562, adopted on May 14, 2018, the Board of Directors (the "Board") authorized a short-term financing to be made by the Corporation to the Village of Oxford ("Village"); and

WHEREAS, on June 20, 2018, the Public Authorities Control Board ("PACB") adopted Resolution No. 18-EF-739 approving the financing; and

WHEREAS, by Resolution No. 2965, adopted on April 5, 2023, the Board authorized an extension of the maturity date of the financing provided to the Village; and

WHEREAS, PACB approved the amendment on April 19, 2023 through adoption of Resolution No. 23-EF-739A; and,

WHEREAS, the financing is set to mature on June 21, 2024 and additional time is needed to complete the project; and

WHEREAS, the Board desires to authorize extension of the maturity date of the Village's financing as set forth herein.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION, AS FOLLOWS:

Section 1. The Board hereby authorizes extension of the maturity date of the financing provided to the Village from June 21, 2024 to December 31, 2025. All other authorizations, determinations, terms and conditions contained in Resolution No. 2562, and any amendments relating thereto, shall remain in effect.

Section 2. This Resolution shall take effect immediately.

RESOLUTION NO. 3023

A RESOLUTION OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION MAKING CERTAIN DETERMINATIONS AND AUTHORIZING CERTAIN ACTIONS IN CONNECTION WITH THE DRINKING WATER STATE REVOLVING FUND AND AUTHORIZING FINANCIAL ASSISTANCE PAYMENTS TO CERTAIN MUNICIPALITIES TO FUND ELIGIBLE DRINKING WATER PROJECTS FROM FUNDS APPROPRIATED FOR WATER INFRASTRUCTURE IMPROVEMENT PROJECTS

WHEREAS, pursuant to the New York State Environmental Facilities Corporation Act, being Chapter 744 of the Laws of 1970 constituting Title 12 of Article 5 of the Public Authorities Law and Chapter 43-A of the Consolidated Laws of the State of New York, as amended (the "Act"), the New York State Environmental Facilities Corporation (the "Corporation") has been established as a body corporate and politic constituting a public benefit corporation; and

WHEREAS, pursuant to Chapter 413 of the Laws of New York of 1996, as amended (the "DWSRF Act") and the 1996 Act to reauthorize and amend title XIV of the Public Health Service Act, Public Law 104-182 (the "Safe Drinking Water Act"), the Drinking Water State Revolving Fund (the "DWSRF") was established in the custody of the Corporation; and

WHEREAS, the Infrastructure Investment and Jobs Act, Pub. L. No. 117-58 (2021), also referred to as the Bipartisan Infrastructure Law, ("IIJA" or "BIL") reauthorizes and amends funding levels and certain provisions in the Safe Drinking Water Act; and

WHEREAS, the Corporation is charged with providing low cost financing to eligible recipients while maintaining the fiscal integrity of the DWSRF; and

WHEREAS, each of the applicants listed in **Exhibit A** hereto has submitted an application to the Corporation for financial assistance under the DWSRF Act and the Safe Drinking Water Act, for the purpose of financing or refinancing water supply projects undertaken and completed or to be undertaken and completed by such applicants; and

WHEREAS, each of the water supply projects proposed to be financed or refinanced by such applicants through the short-term interest-free, short-term market-rate or long-term financings and/or additional subsidies descriptions of which are set out in **Exhibit A** hereto (collectively, the " DWSRF Projects"), constitute an "eligible project" within the meaning of the DWSRF Act and the Safe Drinking Water Act, including but not limited to amendments under BIL, as certified to the Corporation by the New York State Department of Health; and

WHEREAS, with respect to the amounts identified in **Exhibit A**, the Corporation has, where necessary, identified funds available in excess of the amounts listed in the Intended Use Plan (the "IUP") or has made such moneys available through by-pass as provided in the IUP; and

WHEREAS, with respect to the short-term market-rate financings, available funds within the DWSRF have been identified, and in the future the Corporation may seek the Board of Directors' approval to issue its Corporation Bonds to fund any such projects; and

WHEREAS, the Corporation desires to provide such short-term interest-free, short-term market-rate or long-term interest-free financings to the respective applicants, in accordance with the terms and conditions set forth in **Exhibit A**, and as will be more fully set forth in the closing documents for the financings (the "Direct Financings"); and

WHEREAS, the New York State Water Infrastructure Improvement Act of 2015, being Part G of Chapter 60 of the Laws of 2015 ("WIIA 2015"), and the Clean Water Infrastructure Act of 2017, being Part T of Chapter 57 of the Laws of 2017 (the "Infrastructure Act"), each authorizes and directs the Corporation to provide financial assistance payments ("Grants"), from funds appropriated for such purpose, to municipalities in support of water quality infrastructure projects; and

WHEREAS, \$400 million was appropriated over a three-year period for the purposes of WIIA 2015, and no less than \$1 billion was appropriated for purposes of funding water infrastructure improvement projects under the Infrastructure Act; and

WHEREAS, subsequent annual appropriations have been made available for the purpose of providing Grants to municipalities in support of water infrastructure improvement projects; and

WHEREAS, preference for award of Grants shall be given to municipalities that meet the Corporation's hardship criteria and projects that result in the greatest water quality improvement or greatest reduction in serious risk to public health; and

WHEREAS, the Department of Health has completed an evaluation of the projects set forth in **Exhibit B** (hereinafter referred to as the "WIIA Projects"), determined that each WIIA Project constitutes an eligible "water quality infrastructure project" as defined in WIIA, and otherwise meets the criteria for award of a WIIA Grant; and

WHEREAS, the Corporation desires to provide WIIA Grants to the applicants listed in **Exhibit B** in support of water quality infrastructure projects in accordance with WIIA and subject to continuing compliance with applicable law as will be more fully set forth in the closing documents for the financing of each WIIA Project; and

WHEREAS, pursuant to WIIA, a municipality is not required to accept DWSRF financing from the Corporation to receive a WIIA Grant; however, municipalities often seek DWSRF financing in addition to their WIIA grant to fund the total cost of their project; and

WHEREAS, for any DWSRF Project or WIIA Project subject to Article 6 of the Environmental Conservation Law, or the State Smart Growth Public Infrastructure Policy Act, the President has attested in a written Smart Growth Impact Statement that the project meets the relevant criteria as set forth in the Smart Growth Public Infrastructure Policy Act to the extent practicable or, if a Project does not meet the relevant criteria and compliance is considered impracticable, has provided a detailed statement of justification.

NOW, THEREFORE, BE IT RESOLVED BY THE DIRECTORS OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION, AS FOLLOWS:

Section 1. Short-Term and Long-Term Financings and Additional Subsidies

A. The Corporation has reviewed the information supplied by each financing applicant set forth in **Exhibit A**, in connection with its application for DWSRF financial assistance, and the Corporation hereby determines that it would be impracticable or inadvisable to finance all or a portion of the costs of the DWSRF Projects from the proceeds of bonds or notes that are special obligations of the Corporation. The filing of the determination contained in this Section 1.A in accordance with, and to the extent required by, applicable law and regulations by or at the direction of an officer of the Corporation is hereby authorized and confirmed.

B. To accomplish the purposes of the Act the Safe Drinking Water Act, and the DWSRF Act, and to provide for the financing or refinancing of the DWSRF Projects, the Corporation is hereby authorized to provide the Direct Financings and/or the grants, from monies in the DWSRF, to the applicants listed in **Exhibit A** in compliance with applicable law. Each Direct Financing shall be in a principal amount not exceeding the principal amount set forth opposite the name of the applicant in **Exhibit A** and shall bear interest at rates not in excess of those set forth in **Exhibit A**. Each short-term Direct Financing shall mature not later than five years from the date of the closing of such short-term Financing, and each long-term Direct Financing shall mature not later than thirty years from the date of the closing of such long-term Direct Financing. Principal amounts and maturities are to be determined by either the President, any Vice President, Chief Financial Officer, General Counsel or Controller (collectively, the "Authorized Officers") of the Corporation.

The Corporation is further authorized to guarantee the payment of each short-term market-rate financing from monies and assets held in the DWSRF, each short-term market-rate financing shall be in a principal amount not exceeding the principal amount set forth opposite the name of the applicant in **Exhibit A**; shall mature not later than five years from the date of the closing of such short-term market-rate financing; and shall initially bear interest at a rate or rates not in excess of those set forth in **Exhibit A** and as may be determined by any Authorized Officer from time to time in accordance with the provisions of the financing agreement.

C. The Authorized Officers and the Secretary to the Corporation are each hereby authorized to prepare, execute, acknowledge and deliver to each applicant a financing agreement for such applicant's Direct Financing (including any grant), in such form as shall be determined by any Authorized Officer, with such amendments, supplements,

changes, insertions and omissions as may be approved by any Authorized Officer. The Chief Financial Officer and the Secretary to the Corporation are each hereby authorized to affix the seal of the Corporation on such documents and attest the same. The execution of such documents by an Authorized Officer or the Secretary to the Corporation shall be conclusive evidence of any approval or determination authorized or required by this Section 1.C or by Section 1.B of this Resolution.

Section 2. Financial Assistance Payments (WIIA Grants)

A. The Corporation has reviewed the information supplied by each applicant set forth in **Exhibit B** in connection with its application for a WIIA Grant.

B. To accomplish the purposes of WIIA and provide financial assistance payments to the WIIA Project recipients, the Corporation is hereby authorized to provide WIIA Grants, from appropriated funds to the applicants set forth in **Exhibit B** subject to continuing compliance with applicable law.

C. The Authorized Officers are each hereby authorized to determine the amount of each WIIA Grant (which amount shall not exceed the amounts authorized herein) and the terms thereof.

Section 3. General

A. All covenants, stipulations, obligations and agreements of the Corporation contained in this Resolution, and in any agreement, prepared pursuant to this Resolution, shall be deemed to be the covenants, stipulations, obligations and agreements of the Corporation to the full extent authorized or permitted by law, and such covenants, stipulations, obligations and agreements shall be binding upon the Corporation and its successors from time to time and upon any board or body to which any powers or duties affecting such covenants, stipulations, obligations and agreements shall be transferred by or in accordance with law. Except as otherwise provided in this Resolution, all rights, powers and privileges conferred and duties and liabilities imposed upon the Corporation by the provisions of this Resolution, or by any financing agreement prepared pursuant to this Resolution, shall be exercised or performed by the Corporation or by such directors, officers, board or body as may be required by law to exercise such powers and to perform such duties.

B. No covenant, stipulation, obligation or agreement contained in this Resolution, or in any agreement prepared pursuant to this Resolution, shall be deemed a covenant, stipulation, obligation or agreement of any director, officer, agent or employee of the Corporation in his or her individual capacity and neither the Directors of the Corporation nor any Authorized Officer or the Secretary to the Corporation executing any such financing agreement shall be liable personally thereon or be subject to personal liability by reason of the execution thereof.

C. The amount of each WIIA Grant (which amount shall not exceed the amounts authorized herein) and the terms thereof shall be determined by Authorized Officers.

D. The Authorized Officers and the Secretary to the Corporation are each individually authorized and directed to execute and deliver any such other agreements or instruments, to do and cause to be done any such other acts and things, and to make such other changes, omissions, insertions, revisions or amendments to each of the documents referred to in this Resolution as they may determine to be necessary or proper for carrying out, giving effect to and consummating the transactions contemplated by this Resolution and any financing agreement prepared pursuant to this Resolution.

E. This Resolution shall take effect immediately.

Exhibit A
DWSRF Direct Financings

Short-Term Interest-Free Financings:

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount Additional Subsidy</u>	<u>Interest Rate</u>
18936	Alexandria Bay (V)	Jefferson	\$8,103,000	2,000,000	0%

Project Description

This project consists of the replacement of water mains in select areas (approximately 5,500 linear feet), replacement of the Rockwell Street water storage tank and rehabilitation of the Walton Street water storage tank, replacement of water meters, replacement of the existing filtration system, and other water treatment plant upgrades. The project scope is further defined by the engineering report dated October 2021, by BCA Architects & Engineers as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount Additional Subsidy</u>	<u>Interest Rate</u>
18090	East Fishkill (T)	Dutchess	\$1,036,117	N/A	0%

Project Description

This project consists of the interconnection of the Hopewell Hamlet, Fishkill Plains, Revere Park and Hopewell West water districts within the Town of East Fishkill. In addition, the project includes decommissioning of the Hopewell West and Revere Park water systems, and installation of individual water meters and replacement of water main within the Hopewell West water district. Overall, the project includes the installation of approximately 137 individual water meters and 12,000 feet of water main, along with hydrants, valves and appurtenances. The project is defined by the engineering report entitled "Engineering Report – Consolidation of Hopewell West (Worley Homes) and Revere Park Water Systems" dated August 2021 by the engineering firm CPL, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount Additional Subsidy</u>	<u>Interest Rate</u>
19215	Newark (V)	Wayne	\$1,795,500	\$724,185	0%

Project Description

This project consists of the replacement of the existing 4-million-gallon Allerton Hill Reservoir with a new 4-million gallon above ground concrete storage tank. The project will also include installation of new influent piping, discharge piping, and a control building associated with the new storage tank. The project scope is further defined by the engineering report titled "Village of Newark Allerton Hill Reservoir Replacement", dated April 2022, by CPL team as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount Additional Subsidy</u>	<u>Interest Rate</u>
18898	Perry (V)	Wyoming	\$2,200,000	\$2,000,000	0%

Project Description

This project consists of upgrades to the Village of Perry Water Treatment Plant, including upgrades to the clarifier, raw water intake, low lift pump station, clear well storage tank, chemical feed system, as well as upgrade on the elevated water storage tank, and installation of mixing system and SCADA system. The project is defined by the engineering report entitled "Preliminary Engineering Report for the Village of Perry" dated November 2021 by the engineering firm MRB Group, as may be updated, amended, supplemented, and approved by the Agency.

Short-Term Market-Rate Financing:

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Market-Rate Financing Maximum Principal Amount</u>	<u>Maximum Amount Additional Subsidy</u>	<u>Interest Rate (not to exceed)</u>
18090	East Fishkill (T)	Dutchess	\$2,072,235	N/A	6%

Project Description

This project consists of the interconnection of the Hopewell Hamlet, Fishkill Plains, Revere Park and Hopewell West water districts within the Town of East Fishkill. In addition, the project includes decommissioning of the Hopewell West and Revere Park water systems, and installation of individual water meters and replacement of water main within the Hopewell West water district. Overall, the project includes the installation of approximately 137 individual water meters and 12,000 feet of water main, along with hydrants, valves and appurtenances. The project is defined by the engineering report entitled "Engineering Report – Consolidation of Hopewell West (Worley Homes) and Revere Park Water Systems" dated August 2021 by the engineering firm CPL, as may be updated, amended, supplemented, and approved by the Agency.

Exhibit B
Financial Assistance Payment Recipient (Drinking Water WIIA Grants)

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18936	Alexandria Bay (V)	Jefferson	\$3,000,000

Project Description

This project consists of the replacement of water mains in select areas (approximately 5,500 linear feet), replacement of the Rockwell Street water storage tank and rehabilitation of the Walton Street water storage tank, replacement of water meters, replacement of the existing filtration system, and other water treatment plant upgrades. The project scope is further defined by the engineering report dated October 2021, by BCA Architects & Engineers as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18090	East Fishkill (T)	Dutchess	\$3,055,960

Project Description

This project consists of the interconnection of the Hopewell Hamlet, Fishkill Plains, Revere Park and Hopewell West water districts within the Town of East Fishkill. In addition, the project includes decommissioning of the Hopewell West and Revere Park water systems, and installation of individual water meters and replacement of water main within the Hopewell West water district. Overall, the project includes the installation of approximately 137 individual water meters and 12,000 feet of water main, along with hydrants, valves and appurtenances. The project is defined by the engineering report entitled "Engineering Report – Consolidation of Hopewell West (Worley Homes) and Revere Park Water Systems" dated August 2021 by the engineering firm CPL, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18683	Farmingdale (V)	Nassau	\$3,000,000

Project Description

This project consists of the installation of an Advanced Oxidation Process (AOP) treatment system for the removal of 1,4-dioxane and Granular Activated Carbon (GAC) treatment system for the removal of PFOA and PFOS. The project scope is further defined by the engineering report dated December 2022, by H2M architects + engineers as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
19063	Garden City (V)	Nassau	\$5,460,000

Project Description

This project consists of the installation of new equipment at the Village of Garden City's Hilton Park Plant for the treatment of emerging contaminants detected in Well Nos. 15 and 16. The project includes construction of a new Advanced Oxidation Process (AOP) treatment system for the removal of 1,4-dioxane. The new AOP system will work in conjunction with two new pairs of Granular Activated Carbon (GAC) vessels, one for each well, to remove PFOA, PFOS, and quench excess hydrogen peroxide from the AOP system. A new air stripping tower will also be installed for the removal of Volatile Organic Compounds (VOCs). The above processes will work in conjunction with the existing direct filtration system for iron removal already on site. A new building and miscellaneous updates to the plant including electrical upgrades, SCADA upgrades, and piping upgrades will also be installed as part of this project. The project is defined by the engineering report entitled "AOP Treatment at Hilton Park Plant" dated September 2020, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18674	Hempstead (T)	Nassau	\$6,900,000

Project Description

This project consists of the installation of new equipment at the Town of Hempstead's Uniondale Water District Well Nos. 5 and 6 for the treatment of emerging contaminants. These two wells are located at the Oak Street Plant within the Uniondale Water District. The project includes construction of a new Advanced Oxidation Process (AOP) treatment system for the removal of 1,4-dioxane. The new AOP system will work in conjunction with the existing Granular Activated Carbon (GAC) vessels to quench excess hydrogen peroxide from the AOP system. A new Packed Tower Aeration System will be installed at this plant to remove Volatile Organic Compounds (VOCs) which will aid the AOP and existing GAC system's performance. A new building and miscellaneous updates to the plant including electrical upgrades, SCADA upgrades, and piping upgrades will also be installed as part of this project. The project is defined by the engineering report entitled "1,4-Dioxane and VOC Removal" dated January 2021, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18964	Hempstead (T)	Nassau	\$7,116,000

Project Description

This project consists of the installation of an Advanced Oxidation Process (AOP) treatment system for 1,4-dioxane removal and the installation of a Granular Activated Carbon (GAC) treatment system to remove PFAS, quench residual hydrogen peroxide, and remove AOP byproducts. The project is defined by the engineering report entitled "Wellhead Treatment for Emerging Contaminants at Market Lane Plant (Well Nos. 5A & 6B)" dated July 2020 by the engineering firm H2M architects + engineers, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18965	Hempstead	Nassau	\$8,898,000

Project Description

This project consists of the installation of an Advanced Oxidation Process (AOP) treatment system for 1,4-dioxane removal and the installation of a Granular Activated Carbon (GAC) treatment system to remove PFAS, quench residual hydrogen peroxide, and remove AOP byproducts. A new ion exchange system for nitrate removal will be installed along with the above AOP and GAC systems. This system will reduce nitrate concentrations and thereby improve the performance of the AOP system. The project is defined by the engineering report entitled "Wellhead Treatment for Emerging Contaminants and Nitrate Removal at Well Nos. 1A And 2A" dated January 2021 by the engineering firm H2M architects + engineers, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18966	Hempstead (T)	Nassau	\$7,200,000

Project Description

This project consists of the installation of an Advanced Oxidation Process (AOP) treatment system for 1,4-dioxane removal and the installation of a Granular Activated Carbon (GAC) treatment system to quench residual hydrogen peroxide and remove AOP byproducts. The project is defined by the engineering report entitled "AOP Treatment at Levittown Well Nos. 7A & 8A" dated November 2021 (Revised July 2022) by the engineering firm H2M architects + engineers, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18967	Hempstead (T)	Nassau	\$4,866,000

Project Description

This project consists of the installation of an Advanced Oxidation Process (AOP) treatment system for 1,4-dioxane removal and the installation of a Granular Activated Carbon (GAC) treatment system to quench residual hydrogen peroxide and remove AOP byproducts. The project is defined by the engineering report entitled "Wellhead Treatment for Emerging Contaminants at Well No. 13" dated July 2020 by the engineering firm H2M architects + engineers, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18968	Hempstead (T)	Nassau	\$3,000,000

Project Description

This project consists of the installation of an Advanced Oxidation Process (AOP) treatment system for 1,4-dioxane removal and the installation of a Granular Activated Carbon (GAC) treatment system to quench residual hydrogen peroxide and remove AOP byproducts. The project is defined by the engineering report entitled "1,4-dioxane Treatment at East Meadow Wells 6 and 8" dated December 2021 by the engineering firm D&B Engineers and Architects, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u> 18969	<u>Applicant</u> Hempstead (T)	<u>County</u> Nassau	<u>Maximum Grant Amount</u> \$13,200,000
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Project Description

This project consists of the installation of new equipment at the Town of Hempstead’s Roosevelt Well Field for the treatment of emerging contaminants. The project includes construction of a new Advanced Oxidation Process (AOP) treatment system for the removal of 1,4-dioxane. The new AOP system will work in conjunction with three new pairs of Granular Activated Carbon (GAC) vessels, one for each well, to remove PFOA, PFOS, and quench excess hydrogen peroxide from the AOP system. A new Nitrate Treatment system will be installed for Well No. 7 as well. The above processes will work in conjunction with the existing Packed Tower Aeration system and Nitrate Removal system for Wells 11 and 12, as well as the existing GAC treatment system for Well No. 7 for Volatile Organic Compound (VOC) removal. A new building and miscellaneous updates to the plant including electrical upgrades, SCADA upgrades, and piping upgrades will also be installed as part of this project. The project is defined by the engineering report entitled “1,4-Dioxane, PFAs, and Nitrate Removal at Wells 7, 11, and 12” dated February 2021, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u> 19215	<u>Applicant</u> Newark (V)	<u>County</u> Wayne	<u>Maximum Grant Amount</u> \$3,591,000
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Project Description

This project consists of the replacement of the existing 4-million-gallon Allerton Hill Reservoir with a new 4-million gallon above ground concrete storage tank. The project will also include installation of new influent piping, discharge piping, and a control building associated with the new storage tank. The project scope is further defined by the engineering report titled “Village of Newark Allerton Hill Reservoir Replacement”, dated April 2022, by CPL team as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u> 18898	<u>Applicant</u> Perry (V)	<u>County</u> Wyoming	<u>Maximum Grant Amount</u> \$3,000,000
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Project Description

This project consists of upgrades to the Village of Perry Water Treatment Plant, including upgrades to the clarifier, raw water intake, low lift pump station, clear well storage tank, chemical feed system, as well as upgrade on the elevated water storage tank, and installation of mixing system and SCADA system. The project is defined by the engineering report entitled “Preliminary Engineering Report for the Village of Perry” dated November 2021 by the engineering firm MRB Group, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u> 18817	<u>Applicant</u> Schroepfel (T)	<u>County</u> Oswego	<u>Maximum Grant Amount</u> \$992,000
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Project Description

This project consists of the establishment of the new Bankrupt Road Water District. Work includes installation of approximately 41,000 linear feet of 8" and 10" ductile iron distribution main and appurtenances and connection to the Town of Granby's existing public water supply. The project includes a total of 216 Equivalent Dwelling Units (EDU) which are currently developed, and 54 vacant parcels. The project scope is further defined by the engineering report titled "The Town of Schroepfel Preliminary Engineering Report for Proposed Bankrupt Road Water District", dated April 2019, by C2AE Architecture and Engineering as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u> 19298	<u>Applicant</u> Suffolk County Water Authority	<u>County</u> Suffolk	<u>Maximum Grant Amount</u> \$900,000
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Project Description

This project consists of the construction of a new Advanced Oxidation Process (AOP) System to remove 1,4-dioxane from groundwater at the Albany Avenue well field located in North Amityville, Town of Babylon. The project will also include installation of a new H2O2 storage tank, electrical controls, piping, and valves associated with the AOP treatment system. The existing granular activated carbon (GAC) treatment system will be used to quench residual hydrogen peroxide and to remove potential AOP byproducts and other Volatile Organic Compounds. The facility will be designed to treat raw water from Well No. 6 at a rate up to 1,300 gallons per minute (gpm). The project scope is further defined by the engineering report titled "Construction of an Advanced Oxidation Process System to treat 1,4-dioxane at the Suffolk County Water Authority's Albany Avenue Well Field Well No. 6", dated August 2022, by Suffolk County Water Authority as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u> 19299	<u>Applicant</u> Suffolk County Water Authority	<u>County</u> Suffolk	<u>Maximum Grant Amount</u> \$1,800,000
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Project Description

This project consists of the construction of a new Advanced Oxidation Process (AOP) System to remove 1,4-dioxane from groundwater at the Montauk Point State Boulevard well field located in Montauk, Town of East Hampton. The project will also include installation of a new H2O2 storage tank, electrical controls, piping, and valves associated with the AOP treatment system. The existing granular activated carbon (GAC) treatment system will be used to quench residual hydrogen peroxide and to remove potential AOP byproducts and other Volatile Organic Compounds. The facility will be designed to treat raw water from Well Nos. 1A and 2 at a rate up to 200 gallons per minute (gpm). The project scope is further defined by the engineering report titled "Construction of an Advanced Oxidation Process System to treat 1,4-dioxane at the Suffolk County Water Authority's Montauk Point State Boulevard Well Field Well Nos. 1A and 2", dated August 2022, by Suffolk County Water Authority as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
19476	Suffolk County Water Authority	Suffolk	\$1,800,000

Project Description

This project consists of the construction of a new Advanced Oxidation Process (AOP) System to remove 1,4-dioxane from groundwater at the South Spur Drive well field located in East Northport, Town of Huntington. The project will also include installation of a new H2O2 storage tank, electrical controls, piping, and valves associated with the AOP treatment system. The existing granular activated carbon (GAC) treatment system will be used to quench residual hydrogen peroxide and to remove potential AOP byproducts and other Volatile Organic Compounds. The facility will be designed to treat raw water from Well Nos. 1 and 3 at a rate up to 2,400 gallons per minute (gpm). The project scope is further defined by the engineering report titled "Construction of an Advanced Oxidation Process System to treat 1,4-dioxane at the Suffolk County Water Authority's South Spur Drive Well Field Well Nos. 1 and 3", dated August 2022, by Suffolk County Water Authority as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
19031	Syracuse (C)	Onondaga	\$2,059,441

Project Description

This project consists of the installation of approximately 3,000 linear feet of 12" & 10" DIP water main along East Brighton Ave and East Seneca Turnpike, including hydrants, valves, fittings, services, and associated appurtenances; disconnection and abandonment of the existing Lafayette Reservoir and associated equipment; removal of the existing pressure reducing valve (PRV) structure adjacent to the existing Lafayette Reservoir; installation of a new pre-engineered PRV vault; removal of the existing water booster pump station and installation of a new pre-engineered water booster pump station on East Brighton Avenue; safety upgrades at the existing Seneca PRV located on East Seneca Turnpike; and installation of an automatic flushing device on the existing water main along Lafayette Road.

The project is defined by the engineering report entitled "Evaluation of Brighton Avenue Booster Pump Station Replacement Engineering Report" dated September 2019 by the GHD Consulting Services, Inc., as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
19095	Water Authority of Western Nassau County	Nassau	\$11,550,000

Project Description

This project consists of the installation of a new Advanced Oxidation Process (AOP) treatment system and a new granular activated carbon (GAC) filtration system to remove 1,4-dioxane, perfluorooctanoic acid (PFOA) and perfluorooctanesulfonic acid (PFOS) from Well No. 20. Other improvements include site piping modifications, construction of new treatment buildings for the AOP and GAC systems, upgrades to the existing packed tower aeration system, wellhead upgrades, electrical upgrades, and installation of a backup generator. The project scope is defined by the engineering report entitled, "Wellhead Treatment for Emerging Contaminant Removal at Station 20" dated October 2020 by the engineering firm H2M Architect + Engineers, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u> 19096	<u>Applicant</u> Water Authority of Western Nassau County	<u>County</u> Nassau	<u>Maximum Grant Amount</u> \$2,496,000
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Project Description

This project consists of the installation of a new granular activated carbon (GAC) filtration system to remove perfluorooctanoic acid (PFOA) and perfluorooctanesulfonic acid (PFOS) at from Well No. 28. Other improvements include site piping modifications, construction of a new treatment building, electrical upgrades, and a new well pump. The project scope is defined by the engineering report entitled, "Engineering Report – Wellhead Treatment for PFAS Removal at Station No. 28" dated June 2020 by the engineering firm H2M Architect + Engineers, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u> 19097	<u>Applicant</u> Water Authority of Western Nassau County	<u>County</u> Nassau	<u>Maximum Grant Amount</u> \$7,500,000
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Project Description

This project consists of the installation of a new Advanced Oxidation Process (AOP) treatment system and a new granular activated carbon (GAC) filtration system to remove 1,4-dioxane, perfluorooctanoic acid (PFOA) and perfluorooctanesulfonic acid (PFOS) at from Well No. 35A. Other improvements include site piping modifications, construction of new treatment buildings for the AOP and GAC systems, wellhead upgrades, electrical upgrades, and installation of a new generator. The project scope is defined by the engineering report entitled, "Wellhead Treatment for Emerging Contaminant Removal at Station 35" dated October 2020 by the engineering firm H2M Architect + Engineers, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u> 19098	<u>Applicant</u> Water Authority of Western Nassau County	<u>County</u> Nassau	<u>Maximum Grant Amount</u> \$9,912,870
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Project Description

This project consists of the installation of a new Advanced Oxidation Process (AOP) treatment system and a new granular activated carbon (GAC) filtration system to remove 1,4-dioxane, perfluorooctanoic acid (PFOA) and perfluorooctanesulfonic acid (PFOS) at from Well No. 40. Other improvements include site piping modifications, construction of new treatment buildings for the AOP and GAC systems, upgrades to the existing packed tower aeration system, wellhead upgrades, electrical upgrades, and installation of a new generator. The project scope is defined by the engineering report entitled, "Wellhead Treatment for Emerging Contaminant Removal at Station 40" dated November 2021 by the engineering firm H2M Architect + Engineers, as may be updated, amended, supplemented, and approved by the Agency.

BY: _____ /s/
 Kate Siobhan Howard
SECRETARY TO THE CORPORATION

RESOLUTION NO. 3024

A RESOLUTION OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION AMENDING PRIOR AUTHORIZATIONS FOR FINANCIAL ASSISTANCE TO BE PROVIDED BY THE CORPORATION TO CERTAIN RECIPIENTS IN CONNECTION WITH THE CLEAN WATER STATE REVOLVING FUND

Maturity Date Extension

Camden (V) - Project No. C6-6163-01-00

WHEREAS, by Resolution No. 2645, adopted on May 2, 2019, the Board of Directors (the "Board") authorized a short-term financing to be made by the Corporation to the Village of Camden; and

WHEREAS, on May 15, 2019, the Public Authorities Control Board ("PACB") adopted Resolution No. 19-EF-768 approving the financing; and

WHEREAS, the financing is set to mature on June 20, 2024 and additional time is needed to complete the project; and

WHEREAS, the Board desires to authorize extension of the maturity date of the Village of Camden's financing as set forth herein.

Funding Increase and Maturity Date Extension

Speculator (V) - Project No. C5-5539-05-00

WHEREAS, by Resolution No. 2638 adopted on April 11, 2019, the Board authorized a short-term market-rate financing to be made by the Corporation to the Village of Speculator for a maximum principal amount not to exceed \$823,628; and

WHEREAS, on April 17, 2019, PACB adopted Resolution No. 19-EF-765 approving the financing; and

WHEREAS, project costs have increased; and

WHEREAS, the financing is set to mature on May 16, 2024 and additional time is needed to complete the project; and

WHEREAS, the Board desires to amend the financial assistance authorized to the Village of Speculator to reflect an increase in the aggregate maximum principal amount of the financing and to extend the maturity date of the financing as set forth herein.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION, AS FOLLOWS:

Section 1. The Board hereby authorizes extension of the maturity date of the financing provided to the Village of Camden from June 20, 2024 to June 20, 2026. All other authorizations, determinations, terms and conditions contained in Resolution No. 2645, and any amendments relating thereto, shall remain in effect.

Section 2. The Board hereby authorizes an increase in the maximum principal amount of the financing previously authorized to the Village of Speculator as set forth in Exhibit B. In addition, the maturity date of the financing is hereby extended from May 16, 2024 to January 16, 2026. All other authorizations, determinations, terms and conditions contained in Resolution No. 2638, and any amendments relating thereto, shall remain in effect.

Section 3. This Resolution shall take effect immediately.

Exhibit A
Village of Camden

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount Additional Subsidy</u>	<u>Interest Rate</u>
C6-6163-01-00	Camden (V)	Oneida	\$5,224,353	N/A	0%

Project Description

This project consists of the design and construction of wastewater collection and treatment system improvements. The project is defined by the engineering report entitled "Engineering Report for the Village of Camden Wastewater Infrastructure Improvements" dated October 1, 2017 by the engineering firm Blue Line Engineering, D.P.C., as may be updated, amended, supplemented, and approved by the Corporation.

RESOLUTION NO. 3025

A RESOLUTION OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION MAKING CERTAIN DETERMINATIONS AND AUTHORIZING CERTAIN ACTIONS IN CONNECTION WITH THE CLEAN WATER STATE REVOLVING FUND AND AUTHORIZING FINANCIAL ASSISTANCE PAYMENTS TO CERTAIN MUNICIPALITIES TO FUND ELIGIBLE CLEAN WATER PROJECTS FROM FUNDS APPROPRIATED FOR WATER INFRASTRUCTURE IMPROVEMENT PROJECTS

WHEREAS, pursuant to the New York State Environmental Facilities Corporation Act, being Chapter 744 of the Laws of 1970 constituting Title 12 of Article 5 of the Public Authorities Law and Chapter 43-A of the Consolidated Laws of the State of New York, as amended (the "Act"), the New York State Environmental Facilities Corporation (the "Corporation") has been established as a body corporate and politic constituting a public benefit corporation; and

WHEREAS, pursuant to Chapter 565 of the Laws of New York of 1989, as amended (the "CWSRF Act") and the Federal Water Pollution Control Act 33 USC 1383 (the "Clean Water Act"), the Clean Water State Revolving Fund ("CWSRF") was established in the custody of the Corporation; and

WHEREAS, the Infrastructure Investment and Jobs Act, Pub. L. No. 117-58 (2021), also referred to as the Bipartisan Infrastructure Law, ("IIJA" or "BIL") reauthorizes and amends funding levels and certain provisions in the Clean Water Act; and

WHEREAS, the Corporation is charged with providing low-cost financing to eligible recipients while maintaining the fiscal integrity of the CWSRF; and

WHEREAS, each of the applicants listed in **Exhibit A** hereto has submitted an application to the Corporation for financial assistance under the CWSRF Act, for the purpose of financing or refinancing projects eligible for assistance under the Clean Water Act and undertaken and completed or to be undertaken and completed by such applicants; and

WHEREAS, each project proposed to be financed or refinanced by such applicants through the short-term interest-free, short-term market-rate or long-term financings and/or additional subsidies descriptions of which are set out in **Exhibit A** hereto (collectively, the "CWSRF Projects") constitute an "eligible project" within the meaning of the CWSRF Act and the Clean Water Act, including, but not limited to, amendments under BIL; and

WHEREAS, with respect to the amounts identified in **Exhibit A**, the Corporation has, where necessary, identified funds available in excess of the amounts listed in the Intended Use Plan (the "IUP") or has made such moneys available through by-pass as provided in the IUP; and

WHEREAS, with respect to the short-term market-rate financings, available funds within the CWSRF have been identified, and in the future the Corporation may seek the Board of Directors' approval to issue its Corporation Bonds to fund any such projects; and

WHEREAS, the Corporation desires to provide such short-term interest-free, short-term market-rate or long-term interest-free financings to the respective applicants, in accordance with the terms and conditions set forth in **Exhibit A**, and as will be more fully set forth in the closing documents for the financings (the "Direct Financings"); and

WHEREAS, the New York State Water Infrastructure Improvement Act of 2015, being Part G of Chapter 60 of the Laws of 2015 ("WIIA 2015"), and the Clean Water Infrastructure Act of 2017, being Part T of Chapter 57 of the Laws of 2017 (the "Infrastructure Act") each authorizes and directs the Corporation to provide financial assistance payments ("Grants"), from funds appropriated for such purpose, to municipalities in support of water quality infrastructure projects; and

WHEREAS, \$400 million was appropriated over a three-year period for the purposes of WIIA 2015, and no less than \$1 billion was appropriated for purposes of funding water infrastructure improvement projects under the Infrastructure Act; and

WHEREAS, subsequent annual appropriations have been made available for the purpose of providing Grants to municipalities in support of water infrastructure improvement projects; and

WHEREAS, preference for award of Grants shall be given to municipalities that meet the Corporation's hardship criteria and projects that result in the greatest water quality improvement or greatest reduction in serious risk to public health; and

WHEREAS, the Corporation has completed an evaluation of the projects set forth in **Exhibit B** (hereinafter referred to as the "WIIA Projects"), determined that each WIIA Project constitutes an eligible "water quality infrastructure project" as defined in WIIA, and otherwise meets the criteria for award of a WIIA Grant; and

WHEREAS, the Corporation desires to provide WIIA Grants to the applicants listed in **Exhibit B** in support of water quality infrastructure projects in accordance with WIIA and subject to continuing compliance with applicable law as will be more fully set forth in the closing documents for the financing of each WIIA Project; and

WHEREAS, pursuant to WIIA, a municipality is not required to accept CWSRF financing from the Corporation to receive a WIIA Grant; however, municipalities often seek CWSRF financing in addition to their WIIA grant to fund the total cost of their project; and

WHEREAS, for any CWSRF Project or WIIA Project subject to Article 6 of the Environmental Conservation Law, or the State Smart Growth Public Infrastructure Policy Act, the President has attested in a written Smart Growth Impact Statement that the project meets the relevant criteria as set forth in the Smart Growth Public Infrastructure

Policy Act to the extent practicable or, if a Project does not meet the relevant criteria and compliance is considered impracticable, has provided a detailed statement of justification.

NOW, THEREFORE, BE IT RESOLVED BY THE DIRECTORS OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION, AS FOLLOWS:

Section 1. Short-Term and Long-Term Financings and Additional Subsidies

A. The Corporation has reviewed the information supplied by each financing applicant, set forth in **Exhibit A**, in connection with its application for CWSRF financial assistance, and the Corporation hereby determines that it would be impracticable or inadvisable to finance all or a portion of the costs of the CWSRF Projects from the proceeds of bonds or notes that are special obligations of the Corporation. The filing of the determination contained in this Section 1.A in accordance with, and to the extent required by, applicable law and regulations by or at the direction of an officer of the Corporation is hereby authorized and confirmed.

B. To accomplish the purposes of the Act, the Clean Water Act, and the CWSRF Act, and to provide for the financing or refinancing of the CWSRF Projects, the Corporation is hereby authorized to provide the Direct Financings and/or the additional subsidies, from monies in the CWSRF, to the applicants listed in **Exhibit A** in compliance with applicable law. Each Direct Financing shall be in a principal amount not exceeding the principal amount set forth opposite the name of the applicant in **Exhibit A** and shall bear interest at rates not in excess of those set forth in **Exhibit A**. Each short-term Direct Financing shall mature not later than five years from the date of the closing of such short-term Direct Financing, and each long-term Direct Financing shall mature not later than thirty years from the date of the closing of such long-term Direct Financing. Principal amounts and maturities are to be determined by either the President, any Vice President, Chief Financial Officer, General Counsel, or Controller (collectively, the "Authorized Officers") of the Corporation.

The Corporation is further authorized to guarantee the payment of each short-term market-rate financing from monies and assets held in the CWSRF, each short-term market-rate financing shall be in a principal amount not exceeding the principal amount set forth opposite the name of the applicant in **Exhibit A**; shall mature not later than five years from the date of the closing of such short-term market-rate financing; and shall initially bear interest at a rate or rates not in excess of those set forth in **Exhibit A** and as may be determined by any Authorized Officer from time to time in accordance with the provisions of the financing agreement.

C. The Authorized Officers and the Secretary to the Corporation are each hereby authorized to prepare, execute, acknowledge and deliver to each applicant a financing agreement for such applicant's Direct Financing (including any grants), in such form as shall be determined by any Authorized Officer, with such amendments, supplements, changes, insertions and omissions as may be approved by any Authorized Officer. The Chief Financial Officer and the Secretary to the Corporation are each hereby authorized to affix the seal of the Corporation on such documents and attest the same. The execution of such documents by an Authorized Officer or the Secretary to the Corporation shall be

conclusive evidence of any approval or determination authorized or required by this Section 1.C or by Section 1.B of this Resolution.

Section 2. Financial Assistance Payments (WIIA Grants)

A. The Corporation has reviewed the information supplied by each applicant set forth in **Exhibit B** in connection with its application for a WIIA Grant.

B. To accomplish the purposes of WIIA and provide financial assistance payments to the WIIA Project recipients, the Corporation is hereby authorized to provide WIIA Grants, from appropriated funds, to the applicants set forth in **Exhibit B** subject to continuing compliance with applicable law.

C. The Authorized Officers are each hereby authorized to determine the amount of each WIIA Grant (which amount shall not exceed the amounts authorized herein) and the terms thereof.

Section 3. General

A. All covenants, stipulations, obligations and agreements of the Corporation contained in this Resolution, and in any agreement prepared pursuant to this Resolution, shall be deemed to be the covenants, stipulations, obligations and agreements of the Corporation to the full extent authorized or permitted by law, and such covenants, stipulations, obligations and agreements shall be binding upon the Corporation and its successors from time to time and upon any board or body to which any powers or duties affecting such covenants, stipulations, obligations and agreements shall be transferred by or in accordance with law. Except as otherwise provided in this Resolution, all rights, powers and privileges conferred and duties and liabilities imposed upon the Corporation by the provisions of this Resolution, or by any financing agreement prepared pursuant to this Resolution, shall be exercised or performed by the Corporation or by such directors, officers, board or body as may be required by law to exercise such powers and to perform such duties.

B. No covenant, stipulation, obligation or agreement contained in this Resolution, or in any agreement prepared pursuant to this Resolution, shall be deemed a covenant, stipulation, obligation or agreement of any director, officer, agent or employee of the Corporation in his or her individual capacity and neither the Directors of the Corporation nor any Authorized Officer or the Secretary to the Corporation executing any such financing agreement shall be liable personally thereon or be subject to personal liability by reason of the execution thereof.

C. The amount of each WIIA Grant (which amount shall not exceed the amounts authorized herein) and the terms thereof shall be determined by the Authorized Officers.

D. The Authorized Officers and the Secretary to the Corporation are each individually authorized and directed to execute and deliver any such other agreements or instruments, to do and cause to be done any such other acts and things, and to make such other changes, omissions, insertions, revisions or amendments to each of the documents referred to in this Resolution as they may determine to be necessary or proper

for carrying out, giving effect to and consummating the transactions contemplated by this Resolution and any financing agreement prepared pursuant to this Resolution.

E. This Resolution shall take effect immediately.

Exhibit A
CWSRF Direct Financings

Short-Term Interest-Free Financing:

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount Additional Subsidy</u>	<u>Interest Rate</u>
C8-6479-03-00	Clifton Springs (V)	Ontario	\$2,798,102	N/A	0%

Project Description

This project consists of design and construction of wastewater treatment plant (WWTP) improvements in the Village of Clifton Springs. The project is defined by the engineering report entitled "WWTP Effluent Disinfection Study" dated July 2023, revised August 2023, and prepared by the engineering firm Hunt Engineers, D.P.C. as may be updated, amended, supplemented, and approved by the Corporation.

Long-Term Interest-Free Financings:

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Long-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount Additional Subsidy</u>	<u>Interest Rate</u>
C3-5387-01-00	Red Hook (V)	Dutchess	\$6,162,618	N/A	0%

Project Description

This project consisted of planning, design, and construction of a new low pressure sewer system and wastewater treatment plant (WWTP) upgrades. The project is defined by the engineering report entitled "Red Hook Wastewater Study" dated June 2015 by the engineering firm C.T. Male Associates that was approved and made eligible by the New York State Environmental Facilities Corporation on December 7, 2016. The project is further defined by the plans and specifications entitled "Village of Red Hook Sewer Project" dated January 2021 and the basis of design report entitled "Red Hook WWTP and STEP Sewer System" dated February 2021 by the engineering firm C.T. Male Associates that were approved and made eligible by the Corporation on May 7, 2021.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Long-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount Additional Subsidy</u>	<u>Interest Rate</u>
C5-5516-05-01	Saranac Lake (V)	Franklin	\$1,403,651	N/A	0%

Project Description

This project consisted of planning, design, and construction of collection system improvements. The project is defined by the engineering report entitled "West Trunk Sewer Main Facilities Report" dated December 31, 2013 by the engineering firm AES Northeast that was approved and made eligible by the New York State Environmental Facilities Corporation on January 9, 2015. The engineering report was amended by engineering firm AES Northeast as follows:

- Amendment No.1 dated March 9, 2016, approved and made eligible by the Corporation on June 10, 2016.
- Amendment No. 2 dated May 18, 2016, approved and made eligible by the Corporation on June 10, 2016.
- Amendment No. 3 dated October 17, 2016, approved and made eligible by the Corporation on October 28, 2016.
- Amendment No. 4 – no action. Scope not added to project.
- Amendment No. 5 dated October 6, 2020, approved by the New York State Department of Environmental Conservation (NYSDEC) on April 22, 2021 and made eligible by the Corporation on October 28, 2021.

The project is further defined by a basis of design report entitled "Sewer Collection and Treatment System" dated July 16, 2019 by the engineering firm AES Northeast that was approved by the NYSDEC on September 19, 2019 and made eligible by the Corporation on November 12, 2019. The project is further defined by the following plans and specifications all by engineering firm AES Northeast:

- "Pine Street Sewer Reconstruction" dated June 21, 2019, approved by the NYSDEC on September 19, 2019 and made eligible by the Corporation on November 12, 2019.
- "Lake Street Sewer and Water Main Replacement" dated April 3, 2021, approved by the NYSDEC on April 22, 2021 and made eligible by the Corporation on January 30, 2024.

Exhibit B
Financial Assistance Payment Recipient (Clean Water WIIA Grants)

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
C8-6479-03-00	Clifton Springs (V)	Ontario	\$407,134

Project Description

This project consists of design and construction of wastewater treatment plant (WWTP) improvements in the Village of Clifton Springs. The project is defined by the engineering report entitled "WWTP Effluent Disinfection Study" dated July 2023, revised August 2023, and prepared by the engineering firm Hunt Engineers, D.P.C. as may be updated, amended, supplemented, and approved by the Corporation.

BY: _____ */s/*
Kate Siobhan Howard
SECRETARY TO THE CORPORATION