

NYSEFC: AIS Compliance and De Minimis Waiver Worksheet

(Please send this spreadsheet file to EFC electronically)

<input checked="" type="checkbox"/>	Check if: This Form Used for one Construction Contract	Project Name:	Project XYZ
<input checked="" type="checkbox"/>	Check if: This Form Used for one Entire Project	CWSRF No.:	C6-6666-66-02
Owner & Address:		Town of Anytown, 1 Main Street, Anytown, NY 11111	
General Contractor & Address:		ABC Contractors, 2 Construction Way, Builders, NY 11111	
Other Contractor(s):		XYZ Electrical, 3 Voltage Ave, Shocking, NY 11112	
Total Construction Cost:		\$999,999.99	Construction Start:
Total Material Cost:		\$111,111.11	Construction Complete:
			01/01/01
			01/01/01

It is required that all of the iron and steel products used in the project are produced in the United States, unless as otherwise indicated by USEPA. The term "iron and steel" refers to anything permanently incorporated into the public water system or treatment works. This Worksheet serves as a template to help meet the required AIS compliance.

MATERIAL COSTS / AIS COMPLIANCE TABLE									
Specification	Item or Description	Quantity	Unit	Unit Price	Subtotal	STATUS			
						AIS Compliance Certification Received (Note 1)	Availability Waiver (Note 2)	Cost Waiver (Note 2)	Not Subject to AIS
15xxx	Pipe	999	linear feet	\$ 50.00	\$ 49,950.00	X			
15xxx	Valves	48	each	\$ 750.00	\$ 36,000.00	X			
15xxx	Fittings	2222	each	\$ 33.00	\$ 73,326.00		X		
03xxx	Rebar	777	pounds	\$ 2.22	\$ 1,724.94			X	
05xxx	Stairs	1	each	\$ 2345	\$ 2,345.00	X			
					\$ -				
					\$ -				
					\$ -				
TOTAL AIS MATERIAL COST:					\$163,345.94				

EXAMPLE

AIS DE MINIMIS WAIVER TABLE						
Contract	Item or Description	Quantity	Unit	Unit Price	Subtotal	STATUS
						De minimis Waiver (Note 2)
G	Nuts	4,444	each	\$ 0.10	\$ 444.40	X
G	Bolts	4,444	each	\$ 0.15	\$ 666.60	X
G	Washers	8,888	each	\$ 0.08	\$ 711.04	X
G	Screws	1,111	each	\$ 0.11	\$ 122.21	X
G	Nails	2,222	each	\$ 0.03	\$ 66.66	X
H	Screws	555	each	\$ 0.11	\$ 61.05	X
H	Bracket	1	each	\$ 4,040.00	\$ 4,040.00	X
P	Bolts	222	each	\$ 0.08	\$ 17.76	X
E	Bolts	333	each	\$ 0.05	\$ 16.65	X
					\$ -	
TOTAL DE MINIMIS WAIVER AMOUNT:					\$6,146.37	

EXAMPLE

OWNER & CONTRACTOR CERTIFICATION	
I certify that, to the best of my knowledge and belief, the information provided on this form is complete and correct.	
Name and Title of Authorized Owner Representative	Date
Name and Title of Authorized Contractor Representative	Date
Name and Title of Authorized Contractor Representative	Date

- NOTES:
- Collected documentation should be available at the construction site.
 - Application for waivers to USEPA should be submitted to NYSEFC.
 - De minimis waivers will be rejected if either the waiver amount exceeds 5% of Total Material Cost or any item's Unit Price exceeds 1% of the Total Material Cost.
 - Items not considered de minimis: pipe, pipe fittings, valves, tanks, large structural supports.
 - The use of this spreadsheet is recommended but is not required.

+++ EFC USE ONLY +++	
Maximum De Minimis Amount (5% of Total):	\$5,555.56
De Minimis Amount Check (Note 3):	++DE MINIMIS AMOUNT EXCEEDED++
Maximum Unit Price Amount (1% of Total):	\$1,111.11
Unit Price Check (Note 3):	++UNIT PRICE EXCEEDED++